



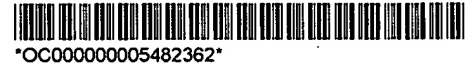
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/656,742	09/07/2000	YURIY REZNIKOV	KSU-188

## FORMALITIES LETTER



\*OC000000005482362\*

RAY L WEBER  
RENNER KENNER GREIVE BOBAK TAYLOR & WEBER  
SIXTEENTH FLOOR  
FIRST NATIONAL TOWER  
AKRON, OH 44308-1456

Date Mailed: 10/18/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

*Ruth Sydnor*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

11/08/2000 HVUONG1 00000055 09656742

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65.00 OP



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Reznikov, et al.

Docket No.: KSU-188

Serial No: 09/656,742

Examiner:

Filed: September 7, 2000

Group No.:

For: Method of Alignment of Liquid Crystals Using Irradiated Liquid Crystal Films

**BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231**

Certificate of Mailing

I hereby certify that this correspondence is being deposited on the date shown below with the United States Postal Service as first class mail in an envelope with sufficient postage addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Jean C Barres  
(type or print name of person signing paper)

Jean C Barres  
(signature of person mailing paper)

10/3/00  
(date)

**COMPLETION OF FILING REQUIREMENTS**

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed .

- ☒ A copy of the Notice to File Missing Parts Application-Filing Date Granted (Form PTO 1533) is enclosed.
- ☐ No copy of the Notice to File Missing Parts Application-Filing Date Granted (Form PTO-1533) was received.

**DECLARATION OR OATH**

- II. (a) ☒ No executed declaration or oath was filed. Enclosed is the original executed declaration or oath for this application.

**OR**

- (b) ☐ The declaration or oath which was filed was determined to be defective. A new original oath or declaration is attached.

Attached is a

- (c) ☐ Statement by a registered attorney that the application filed in the PTO is the application which the inventor executed by signing the declaration.
- (d) ☒ Statement that the "attached" specification is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

#### AMENDMENT CANCELING CLAIMS

- III. ☐ Cancel claims \_\_\_\_\_ inclusive.

#### TRANSMITTAL OF ENGLISH TRANSLATION OR NON-ENGLISH LANGUAGE PAPERS

- IV. ☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

#### SMALL ENTITY STATUS

- V. Applicant is

- [x] a small entity  
verified statement:
- [ ] enclosed
- [x] already filed in application
- [ ] other than a small entity

#### COMPLETION FEES

- VI.

##### 1. Filing Fee

- ☐ original patent application (37 CFR 1.16(a)- \$790;  
Small entity - \$395) \$
- ☐ Design application (37 CFR 1.16(f) \$330; small entity - \$165) \$

2. Fees for claims

- ☐ each independent claim in excess of 3  
(38 CFR 1.16(b)-\$82; small entity -\$41) \$
- ☐ each claim in excess of 20 (37 CFR 1.16(c)-\$22;  
small entity-\$11) \$
- ☐ multiple dependent claim(s) (37 CFR 1.16(d) - \$270;  
small entity- \$135) \$

3. Surcharge Fees

- ☐ late payment of filing fee
- and/or
- ☒ late filing of original declaration or oath (37 CFR 1.16(e) - \$130;  
small entity - \$65); \$ 65.00

4. ☐ Petition and fee for filing by other than all the inventors  
or person not the inventor  
(37 CFR 1.17(h) and 1.47 - \$130) \$

5. ☐ Fee for processing an application filed with a specification in a non-  
English language (37 CFR 1.17(k) and 1.52(d)-\$130) \$

6. ☐ Fee for processing and retention of application (37 CFR 1.21(l) and  
1.53(d)-\$300) \$

Total completion fees \$ 65.00

**EXTENSION OF TIME**

VII.

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136(a) apply.

- (a) ☐ Applicant petitions for an extension of time, the fees for which are set out  
in 37 CFR 1.17(a)-(d), for the total number of months checked below:

<u>Extension months</u>	<u>Fee for other than small entity</u>	<u>For small entity</u>
[ ] one month	\$ 110.00	\$ 55.00
[ ] two months	\$ 400.00	\$ 200.00
[ ] three months	\$ 950.00	\$ 475.00
[ ] four months	\$ 1,510.00	\$ 755.00
Extension Fee		\$ 0.00

If an additional extension of time is required please consider this a petition therefor.

Based on the extension requested in this petition, the extended period for response will expire on .

or

(b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

6. Fee Payment

Attached is a check in the amount of \$ 65.00.

7. Fee deficiency

[X] If any additional extension and/or fee is required, charge Account no. 18-0987.

A duplicate of this transmittal is attached.

Respectfully submitted,

Michael Morgan  
Ray L. Weber, Esq., Reg. No. 26,519  
Michael F. Morgan, Esq., Reg. No. 42,906  
Renner, Kenner, Greive, Bobak, Taylor & Weber  
Sixteen Floor, First National Tower  
Akron, OH 44308-1456  
Telephone: 330/376-1242  
Attorney for Applicants

11-3-00  
Date



SECTOR \$

✓  
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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Reznikov, et al.

Docket No.: KSU-188

Serial No: 09/656,742

Examiner:

Filed: September 7, 2000

Group No.:

For: Method of Alignment of Liquid Crystals Using Irradiated Liquid Crystal Films  
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WASHINGTON, D.C. 20231

Certificate of Mailing

I hereby certify that this correspondence is being deposited on the date shown below with the United States Postal Service as first class mail in an envelope with sufficient postage addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Joan R Barrios

(type or print name of person signing paper)

Joan R Barrios

(signature of person mailing paper)

11/3/00

(date)

## TRANSMITTAL SHEET

Enclosed is:

Transmittal Sheet with Certificate of Mailing and Authorization to Charge Deposit Account  
Completion of Filing Requirements with Certificate of Mailing and Authorization to Charge  
Deposit Account (4 pages)  
Notice to File Missing Parts of Application (PTO Form 1533  
Executed Declaration and Power of Attorney (3 pages)(2 copies signed in counterpart) with  
Specification attached (16 pages)  
Statement by Attorney that Papers Attached to Declaration are a Copy of Those Filed in PTO  
to get a Filing Date (2 pages)  
Check in the amount of \$65  
Return Receipt Post Card

Authorization to Charge Deposit Account

In the event an additional fee is applicable to the filing of this document and the required fee is not enclosed, or the fee submitted is insufficient, the Commissioner is hereby authorized to charge any fees which might be required to effect the filing of this (these) document (s) to Account No. 18-0987. This certificate is being submitted in duplicate.

Respectfully submitted,

Michael Morgan

Ray L. Weber, Esq., Reg. No. 26,519

Michael F. Morgan, Esq., Reg. No. 42,906

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Telephone: 330/376-1242

Attorney for Applicants

11-3-00

Date